

Compliance and Regulation Capabilities

Designing and embedding integrated compliance management frameworks that drive accountability, oversight and regulatory confidence

Amstelveen

Who we are

We help organisations translate legislative and regulatory obligations into practical governance, controls and ways of working

At Amstelveen, we combine specialist expertise across compliance, risk and governance with hands-on implementation support. We work with Boards, Executives and risk functions to understand regulatory requirements, assess existing arrangements and embed sustainable compliance practices across the organisation.

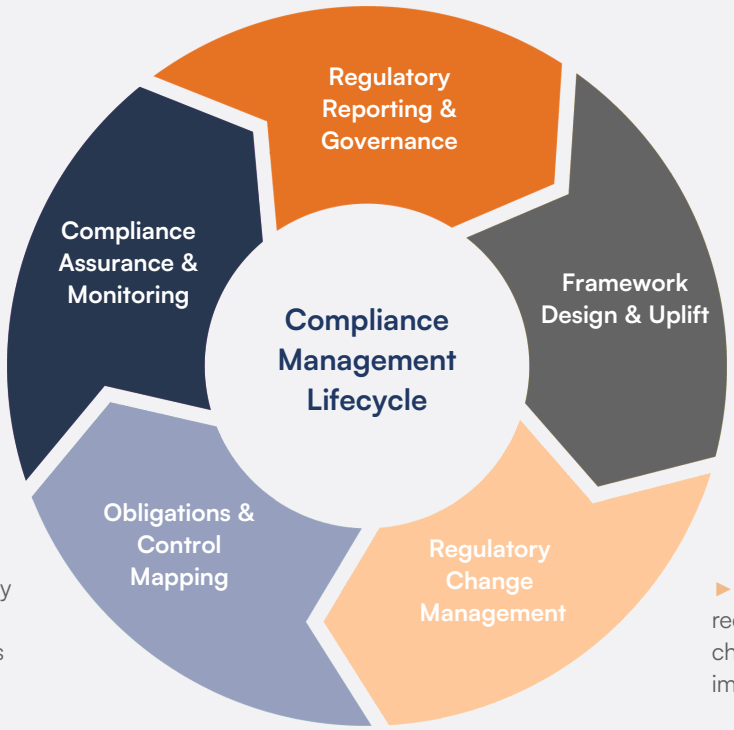
Our approach connects compliance with enterprise risk management and broader governance, helping clients establish clear accountability, strengthen oversight and demonstrate the regulatory requirements are understood, implemented and operating as intended.

Our experience spans both financial and non-financial services sectors, allowing us to bring leading industry practices, regulatory insights and proven approaches from highly regulated environments to a broad range of organisations. Our cross-sector perspective enables us to identify emerging risks, challenge conventional approaches and deliver solutions that are practical and fit-for-purpose.

We support clients across the full compliance lifecycle, from interpreting obligations and assessing compliance frameworks to implementing compliance capabilities and uplift initiatives.

We support clients across the Compliance Management Lifecycle

► Strengthen regulatory reporting, governance and oversight to support informed decision-making and effective regulator engagement.



► Provide independent assurance and monitoring to assess compliance effectiveness and control performance.

► Design and enhance fit-for-purpose compliance frameworks aligned with regulatory expectations and organisational needs.

► Establish clear traceability between obligations, risks, controls, processes, policies and accountabilities.

► Assess emerging regulatory requirements and translate change into practical implementation actions.

Our Service Offering

We combine compliance expertise with practical implementation support across key regulatory, risk and governance domains



Data Governance and Privacy

Evolving privacy and regulatory requirements place greater expectations on how organisations manage and protect personal information and demonstrate effective compliance. We see data and AI as central to the way organisations create value, thus trust in them must be designed strategically with transparency and explainability.

We support clients in embedding data and privacy regulatory requirements into governance and daily operations:

- Privacy and regulatory compliance assessments
- Gap analysis
- Obligations mapping
- Data governance frameworks and processes
- Control Uplift
- Ongoing compliance monitoring



Third-Party and Outsourcing

Supplier and outsourcing arrangements require effective governance throughout their lifecycle, particularly where organisations rely on third-parties to deliver critical or important services. Organisations who gain the most value from their relationships are able to identify, assess, monitor and offboard arrangements with strong risk visibility.

Our work spans the service provider lifecycle to provide clearer oversight of third-party risk and compliance:

- Third-party governance frameworks
- Due diligence
- Contractual requirements
- Risk assessments
- Performance monitoring and assurance
- Relationship manager responsibilities and obligations



Resilience and Operational Risk

Operational resilience increasingly depends on an integrated view of risk management, business continuity, critical dependencies and third-party relationship management. The connection between those is particularly important for organisations managing obligations for Critical Operations, Material Service Providers and operating effectiveness.

We help organisations strengthen operational preparedness and build confidence in their ability to withstand and respond to disruption:

- Operational resilience and risk frameworks
- CPS 230 and SOCI embedment
- Crisis management and resilience
- Business continuity planning and testing
- Operational control assurance and uplift
- Strategic risk identification and management

Contact us

Get in touch for any questions, or if you'd like to discuss working together



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David leads Amstelveen's team of specialist governance, risk, compliance and assurance professionals. He has 20 years of experience in technology, projects and governance, spanning risk advisory, compliance, internal audit, corporate governance, risk remediation, risk culture and the delivery of major technology and business change projects. David holds a Master of Business Law (USyd) and a Bachelor of IT (UTS), and is a graduate of the Australian Institute of Company Directors and the Royal Military College of Australia.



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Eugene is a senior risk and assurance professional with over 25 years of experience across financial services and other industries, supporting risk transformation programs, advising on risk and compliance frameworks, and leading assurance activities. Eugene is a member of Chartered Accountants Australia and New Zealand (CAANZ) and holds a Bachelor of Commerce from the University of Sydney.

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